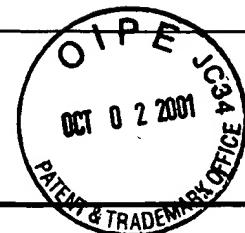


RECEIVED  
OCT 04 2001  
#1154  
10-5-01 OCT 04 2001

TECH CENTER 1000/2900



## CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL

Submit an original, and a duplicate for fee processing.

Only for Continuation or Divisional applications under 37 CFR 1.53(d)

CHECK BOX, IF APPLICABLE  
 DUPLICATE

Address to:

Assistant Commissioner for Patents  
Box CPA  
Washington, D.C. 20231

Attorney Docket No. of Prior Application	1871-129
First Named Inventor	Roger John Daly
Examiner Name	O. Chernyshev
Group Art Unit	1646
Express Mail Label No.	

This is a request for a continuation application under 37 CFR 1.53(d) (continued prosecution application (CPA)) of prior application number 09/509,196 filed on March 23, 2000 entitled A POTENTIAL EFFECTOR FOR THE GRB7 FAMILY OF SIGNALLING PROTEINS.

### NOTES

**FILING QUALIFICATIONS:** The prior application identified above must be a nonprovisional application that is either: (1) complete as defined by 37 CFR 1.51(b) or (2) the national stage of an international application in compliance with 35 U.S.C. 371.

**C-I-P NOT PERMITTED:** A continuation-in-part application cannot be filed as a CPA under 37 CFR 1.53(d), but must be filed under 37 CFR 1.53(b).

**EXPRESS ABANDONMENT OF PRIOR APPLICATION:** The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 CFR 1.53(b) must be used to file a continuation, divisional or continuation-in-part of an application that is not to be abandoned.

**ACCESS TO PRIOR APPLICATION:** The filing of this CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 CFR 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or applications in the file jacket.

**35 U.S.C. 120 STATEMENT:** In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 CFR 1.78(a).

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

- Enter the unentered amendment previously filed on under 37 CFR 1.116 in the prior nonprovisional application.
- A preliminary amendment is enclosed.
- This application is filed by fewer than all the inventors named in the prior application, 37 CFR 1.53(d)(4).
  - DELETE** the following inventor(s) named in the prior nonprovisional application:
  - The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
- A new power of attorney for authorization of agent (PTO/SB/81) is enclosed.
- Information Disclosure Statement (IDS) is enclosed:
  - PTO-1449
  - Copies of IDS Citations

10/03/2001 SLUANG1 0000042 09509196

01 FC:131  
02 FC:117

740.00 OP  
920.00 OP

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE (Large Entity)	(5) CALCULATIONS
	TOTAL CLAIMS	18 -20 =	0	X \$ 18.00 =	\$ 0
	INDEPENDENT CLAIMS	2 - 3 =	0	X \$ 84.00 =	0
	MULTIPLE DEPENDENT CLAIM (if applicable)			+ \$280.00 =	0
				BASIC FEE	\$ 740.00
				TOTAL =	\$ 740.00

6. Small entity status:
6.  Small entity status: Applicant claims small entity status. See 37 CFR 1.27
7.  The Commissioner is hereby authorized to charge additional fees and credit any overpayment to Deposit Account Number 02-2135 in the name of Rothwell, Figg, Ernst & Manbeck
8.  A check in the amount of \$ 1,660.00 is enclosed to cover the \$740.00 filing fee and the \$920.00 request for a three month extension of time.
9.  Payment by credit card. Form PTO-2038 is enclosed.
10.  Applicant requests suspension of action under 37 CFR 1.103(b) for a period of \_\_\_ months (not to exceed 3 months) and the fee under 37 CFR 1.17(i) enclosed.
11.  New Attorney Docket Number, if desired \_\_\_\_\_
12. a.  Receipt for Facsimile Transmitted CPA (PTO/SB/29A)
- b.  Return Receipt Postcard (Should be specifically itemized, See MPEP 503)
13.  Request and certification under 35 USC 122(b)(2)(B)(i) for nonpublication.
14.  Other: Substitute Declaration and Power of Attorney

**NOTE:** The prior application's correspondence address will carry over to this CPA UNLESS a new correspondence address is provided below.

#### 14. NEW CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label 6449					
Name					
Address	Rothwell, Figg, Ernst & Manbeck Suite 701-East, 555 13th Street, N.W.				
City	Washington	State	D.C.	Zip Code	20004
Country	U.S.A.	Telephone	202-783-6040	Fax	202-783-6031

#### 15. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME & REG. NUMBER	Barbara G. Ernst, Reg. No. 30,377
SIGNATURE	<i>Barbara G. Ernst</i>
DATE	October 2, 2001